

To,
CENTILLION CAPITAL (P) LTD

Date: ___/___/20___

Sub: Account Reactivation request

Ref: Client code _____
PAN NO _____

Dear Sir,

I/We am/are having above mentioned trading account with Centillion Capital Private Limited. I/we have not traded in Equity (Cash, F&O, currency) and/or commodity segment for more than 12 months hence my account is marked dormant. However, I/we am/are desirous to start trading again. In this regard, you are requested to reactivate my/our trading account and allow trading with immediate effect.

I/We hereby undertake and confirm that there are no changes in my/our KYC details (Address, contact details, Bank account, and residential status) provided to CCPL earlier. Further, if there is any change, I will provide CCPL complete KYC form.

I/we declare that the information given above is true to my/our knowledge. I/we, therefore, request you that the requirement of fresh KYC may not be insisted upon.

Yours Faithfully,

✓

(Signature of client)

(Name of the client/entity)

Note: To be taken on the letterhead and affix rubber stamp in case of non-individual client.

For CCPL use

In-Person Verification (IPV) conducted by:

Details of CCPL Employee:

Name: _____

Code: _____

Designation: _____

Signature: _____ Date _____

Financial Details Updation and FATCA Declaration Form

Dear Sir/Madam,

I/We would request you to update the below mentioned details pertaining to my trading account held with Centillion Capital (P) Limited.

Financial Details Gross Annual Income Details (Please Specify)	Income Range (Per Annum) as on date ___/___/20___ <input type="checkbox"/> Below Rs 1 Lakh <input type="checkbox"/> Rs 1 - 5 Lakh <input type="checkbox"/> Rs 5 - 10 Lakh <input type="checkbox"/> Rs 10 - 25 Lakh <input type="checkbox"/> Above Rs 25 Lakh <small>#Provide document in support of financial details (mandatory for Derivatives)</small>
Net worth (should not be older than 1 year) (Mandatory for Non-individual)	Rs. _____ as on date ___/___/20___
Occupation (In case of Individual)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Central Government <input type="checkbox"/> State Government <input type="checkbox"/> Business* <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturalist <input type="checkbox"/> Retired <input type="checkbox"/> House Wife <input type="checkbox"/> Student <input type="checkbox"/> NGO <input type="checkbox"/> Others
*If business is selected, then provide nature of business	
Politically Exposed (Please tick)	
<input type="checkbox"/> Not Politically Exposed Person (PEP) / Not Related to Politically Exposed Person (PEP) <input type="checkbox"/> Politically Exposed Person (PEP) / Related to a Politically Exposed Person (PEP)	
FATCA Declaration	
Are you resident outside India for Tax Purpose <input type="checkbox"/> No <input type="checkbox"/> Yes (<i>provide additional information</i>)	
Country of Birth <input type="checkbox"/> India <input type="checkbox"/> Other _____ (<i>specify</i>)	
City of Birth _____ (<i>specify</i>)	

Documents to be attached (Mandatory)

For Individual Clients

- Affix PHOTO on CKYC FORM (Across Sign)
- PAN Card copy (Self-Attested)
- Address Proof Card copy (Self-Attested)
(Aadhar Card / Voter id / Passport)
- Cancel Cheque (Name Print) or Bank statement (Self-Attested)

For Non Individual Clients

- Affix PHOTO on KYC FORM (Across Sign)
(Karta/Director and Co-partners)
- Non-Individual PAN Card copy (Self-Attested with stamp)
- Non-Individual Bank statement copy {Last 3Months} (Self-Attested with stamp)
- Non-Individual Cancel cheque
- PAN Card and Aadhar Card copy (Self-Attested)
(Karta/Director and Co-partners)

Client code: _____

Client Signature: 

Date: ___/___/20___

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a “Unique Client Code” (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker’s Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

Client(s) Signature : 

Client(s) Name : _____

Place : _____

Date : _____



CENTILLION CAPITAL PRIVATE LIMITED

(Formerly PELF FINSTOCK LTD)

(MEMBER : NSE, BSE, DP: NSDL)

SEBI Regn. No. INZ000168834, DPID: IN 302943

CIN: U65993DL1995PTC073247

VOLUNTARY FREEZING/BLOCKING OF THE ONLINE TRADING ACCOUNT POLICY

PURPOSE: -

The procedures outlined herein are per regulatory requirements. All clients are requested to note that the forthcoming guidelines are issued to facilitate the freezing/blocking of their respective online trading accounts upon explicit requests from the client's end in case they notice any suspicious activity on their trading accounts.

A few instances of suspicious activities would include:

1. Fresh positions being taken without the client's knowledge or action.
2. Holdings being sold without the client's knowledge or action.
3. A client is unable to access his/her account.
4. A client notices a change in their linked bank account/ email/ mobile number without them having placed a request.
5. A share transfer via gift/ easiest is initiated without the client's knowledge or action.

Procedure to Freeze/Block the Online Trading Account: -

1. Timelines

In line with regulatory requirements, upon the explicit request from a client to block the online access to their trading account through any of the modes mentioned in the forthcoming sections, all efforts will be taken by Centillion Personnel to fulfill the request as per the below timelines:

- For requests received within trading hours, all efforts shall be taken to block the account within 15 min.
- For requests received after trading hours up until 15 minutes before the market opening time, all efforts will be taken to block the account before the start of the next trading session

2. Mediums

Clients can report suspicious activities on their trading account via the following mediums:

- By mailing on the dedicated email ID: stoptrade@centillioncap.com
- By calling the dedicated number: +91-8745024502 (between 8:30 am and 5:00 pm)



Verification (Mandatory)

Requests raised on calls

Clients should call the dedicated number, +91- 8745024502, from their registered phone numbers (number registered with Centillion).

Please note that stringent identity verification will be conducted before considering any client's block requests. Hence, all clients are requested to keep all basic Personal Identification Information (PII) handy to facilitate the smooth and fast processing of requests. It is also recommended that clients keep their support code memorized/handy, as this would serve as additional two-factor authentication.

Requests raised via the dedicated Email

Clients are recommended to mail from their registered email address (email ID registered with Centillion).

Clients are requested to give relevant and detailed information regarding the suspicious activity they have noticed on their trading accounts.

Clients who have raised block requests by mail shall receive a call back promptly from Centillion personnel. Hence, they are advised to answer the call without fail.

Procedure to Unfreeze/Unblock the Online Trading Account: -

Clients can unfreeze/unblock their online trading accounts by raising a request via any of the modes prescribed above.

Please note that the client must mandatorily complete the Re-KYC procedure successfully to have their trading account unfrozen/unblocked.

Following the successful completion of the Re-KYC procedure and after carrying out any other necessary due diligence, the client shall be intimated via mail regarding the status of their request.



(Signature of client)